

Last time:  $\Omega \subset \mathbb{R}^N$  open,  $|\Omega| < +\infty$ ,  $1 < p < +\infty$

$$0 \leq f(x) \leq C(1+|x|^p)$$

$$u_n \rightharpoonup u \text{ in } V^{1,p}(\Omega; \mathbb{R}^d)$$

$\lim_{n \rightarrow +\infty} \int_{\Omega} f(\nabla u_n) dx$  exists and is finite.

Want to find  $\{w_n\}$  s.t.:

- $w_n \rightharpoonup u$  in  $V^{1,p}(\Omega; \mathbb{R}^d)$

- $w_n = u$  near  $\partial\Omega$

- $w_n = u_n$  away from  $\partial\Omega$

- $\overline{\lim_{n \rightarrow +\infty} \int_{\Omega} f(\nabla w_n) dx} \leq \lim_{n \rightarrow +\infty} \int_{\Omega} f(\nabla u_n) dx$

It is tempting to try the following:

$$\begin{cases} \varphi_k \in C_c^\infty(\Omega_{1/k}; [0,1]) \\ \varphi_k = 1 \text{ in } \Omega_{2/k} \\ \|\nabla \varphi_k\|_\infty \leq C/k \end{cases}$$

$$\Omega_{1/k} := \{x \in \Omega : \text{dist}(x, \partial\Omega) > 1/k\} \cap B(0, k)$$

Set

$$w_{k,n} := \varphi_k u_n + (1 - \varphi_k)u$$

Then

$$\begin{cases} w_{k,n} = u & \text{if } x \notin \Omega_{1/k} \\ w_{k,n} = u_n & \text{if } x \in \Omega_{2/k} \end{cases}$$

- $\overline{\lim_k} \overline{\lim_n} \int_{\Omega} |w_{k,n} - u|^p dx = 0$

- $\overline{\lim_k} \overline{\lim_n} \int_{\Omega} |\nabla w_{k,n}|^p dx \leq \overline{\lim_n} \int_{\Omega} |\nabla u_n|^p dx + \int_{\Omega} |\nabla u|^p dx < +\infty$

and

$$\overline{\lim}_k \overline{\lim}_m \int_{\Omega} f(\nabla w_{k,m}) \leq \lim_m \int_{\Omega} f(\nabla u_m) dx$$

$$+ \overline{\lim}_k \overline{\lim}_m \int_{\Omega_{1/k} \setminus \Omega_{2/k}} |\nabla u_m|^p dx$$

$\{ |\nabla u_m|^p \}$  is not necessarily equi-integrable, so it may be

$$\overline{\lim}_k \overline{\lim}_m \int_{\Omega_{1/k} \setminus \Omega_{2/k}} |\nabla u_m|^p dx > 0$$

and thus we cannot use a diagonalization argument to construct the desired  $\{w_n\}$  as

$$w_n := w_{k(n),n} \text{ or } w_k := w_{k,n(k)}.$$

We had two different ways to tackle this problem:

1) Use De Giorgi's Slicing Method to determine "explicitly" a layer " $\Omega_{1/k} \setminus \Omega_{2/k}$ " on which the transition of the cut-off function  $\varphi$  between 1 and 0 could be made with least energy.  
No need to extract a subsequence!

2) Use a measure theoretic approach: up to a subsequence,  
 $|\nabla u_m|^p \in L^1(\Omega) \xrightarrow{*} \mu$   
 $\mu$  nonnegative, finite Borel measure  
 choose  $t_k \downarrow 0^+$  s.t.  $\mu(\partial \Omega_{t_k}) = 0$   
 perform the transition of  $\varphi$  from 1 to 0 nearby  $\partial \Omega_{t_k}$ .

And now:

- 3) If the problem is possible lack of equi-integrability of  $\{|\nabla u_n|^p\}$ , then get rid of it from the start! will need to extract a subsequence!

Use the Decomposition Lemma:

$\Omega$  open,  $1 < p < +\infty$

$\exists \{u_n\} \subset \{u_n\}$  s.t.

$$\begin{cases} u_n = (u + \bar{w}_n) + v_n \\ \bar{w}_n \in W^{1,p}(\Omega) \cap W^{1,\infty}, \bar{w}_n \rightarrow 0 \text{ in } W^{1,p} \\ w_n := u + \bar{w}_n, w_n \rightarrow u \text{ in } V^{1,p} \\ \{|\nabla w_n|^p\} \text{ equi-integrable} \\ |\{x \in \Omega \cap B(0,R) : v_n(x) \neq 0\}| \rightarrow 0 \text{ for all } R > 0 \end{cases}$$

If  $\Omega$  is bounded then can imitate  $w_n = u$  nearby  $\partial\Omega$ , and

$$\overline{\lim}_i \int_{\Omega} f(|\nabla w_i|) dx \leq \lim_n \int_{\Omega} f(|\nabla u|) dx$$

$$+ \lim_i \int_{\Omega \cap \{v_i \neq 0\}} C(1 + |\nabla w_i|^p) dx = 0 \text{ by equi-integrability}$$

What if  $p = +\infty$  and  $|\Omega| = +\infty$ ?

Here  $f$  is locally bounded and  $\int_{\Omega} f(|\nabla u|) dx \in \mathbb{R}$ .

Start as before:  $\varphi_k \in C_c^\infty(\Omega_{1/k}; [0,1])$

$$\left\{ \begin{array}{l} \varphi_k \equiv 1 \quad \text{in } \Omega_{2/k} \\ \|\nabla \varphi_k\|_{\infty} \leq C/k \end{array} \right.$$

$$\left\{ \begin{array}{l} w_{k,n} := \varphi_k u_n + (1-\varphi_k)u \\ w_{k,n} = u \quad \text{outside } \Omega_{1/k} \\ w_{k,n} = u_n \quad \text{in } \Omega_{2/k} \end{array} \right.$$

$$\bullet \overline{\lim}_k \overline{\lim}_n \|w_{k,n} - u\|_{L^\infty(\Omega; \mathbb{R}^d)} = 0$$

$$\bullet \overline{\lim}_k \overline{\lim}_n \|\nabla w_{k,n}\|_{L^\infty} \leq \sup_n \|\nabla u_n\|_{L^\infty} + \|\nabla u\|_{L^\infty} < +\infty$$

and

$$\int_{\Omega} f(|\nabla w_{k,n}|) dx \leq \int_{\Omega} f(|\nabla u|) dx + \int_{\Omega \setminus \Omega_{2/k}} f(|\nabla u|) dx$$

$\xrightarrow[k \rightarrow +\infty]{0}$

$$+ \int_{\Omega_{1/k} \setminus \Omega_{2/k}} f(|\nabla w_{k,n}|) dx$$



$$\leq C |\Omega_{1/k} \setminus \Omega_{2/k}| \rightarrow 0$$

because  $|\Omega| = +\infty$ !

So need to fix  $k \in \mathbb{N}$  and let the transition layer disappear before sending  $k \rightarrow \infty$ :

let  $0 < s < 1$  be such that  $\frac{1}{k} > s > 0$  and choose

$$\left\{ \begin{array}{l} \varphi_{k,s} \in C_c^{\infty}(\Omega_{1/k}; [0,1]) \\ \varphi_{k,s} \equiv 1 \text{ in } \Omega_{\frac{1}{k}+s} \\ \|\nabla \varphi_{k,s}\|_{\infty} \leq \frac{C}{s} \end{array} \right.$$

$$w_{k,s,n} := \varphi_{k,s} u_n + (1 - \varphi_{k,s}) u$$

$$\bullet \lim_k \lim_s \lim_n \|w_{k,s,n} - u\|_{L^{\infty}(\Omega; \mathbb{R}^d)} = 0$$

$$\bullet \lim_k \lim_s \lim_n \|\nabla w_{k,s,n}\|_{L^{\infty}(\Omega; \mathbb{R}^{d \times d})} < \infty$$

$$\bullet \int_{\Omega} f(\nabla w_{k,s,n}) dx \leq \int_{\Omega} f(\nabla u_n) dx + \int_{\Omega_{1/k}} f(\nabla u) dx + \int_{\Omega_{1/k} \setminus \Omega_{1/k+s}} f(\nabla w_{k,s,n}) dx.$$

For fixed  $k, s$  choose  $n(k, s)$  s.t.  $n \geq n(k, s)$

$$\sup_n \|u_n - u\|_{L^{\infty}(\Omega_{1/k})} \|\nabla \varphi_{k,s}\|_{L^{\infty}} \leq 1$$

$$C_0 := \sup_n \|\nabla u_n\|_{L^{\infty}} + \|\nabla u\|_{L^{\infty}} + 1$$

$$M := \sup \{ |f(\xi)| : |\xi| \leq C_0 \}$$

Then 
$$\overline{\lim}_{\substack{n \rightarrow +\infty \\ n \geq n(k,s)}} \int_{\Omega} f(\nabla w_{k,s,n}) dx \leq \lim_{n \rightarrow +\infty} \int_{\Omega} f(\nabla u_n) dx$$

$$+ \int_{\Omega \setminus \Omega_{2/k}} f(\nabla u) dx + M |\Omega_{1/k} \setminus \Omega_{2/k}|$$

↓  
0 as  $s \rightarrow 0^+$

and so 
$$\overline{\lim}_{k \rightarrow +\infty} \overline{\lim}_{s \rightarrow 0^+} \overline{\lim}_{n \rightarrow +\infty} \int_{\Omega} f(\nabla w_{k,s,n}) dx \leq \lim_{n \rightarrow +\infty} \int_{\Omega} f(\nabla u_n) dx$$

Diagonalize to get  $w_k = w_{k, s(k), n(k)}$ .

Now prove:

Thm  
 $\Omega \subset \mathbb{R}^N$  open,  $f: \mathbb{R}^{d \times N} \rightarrow [a, +\infty)$  lsc, locally bounded from above

Assume  $\exists u_0 \in V^{1,p}(\Omega; \mathbb{R}^d)$  s.t.  

$$\int_{\Omega} f(\nabla u_0) dx < \infty$$

If  $u \in V^{1,p}(\Omega; \mathbb{R}^d) \mapsto \int_{\Omega} f(\nabla u) dx$  is subsc in  $V^{1,p}$

(w.o. if  $p = +\infty$ ) then  $f$  is strongly quasiconvex  
 (hence, quasiconvex).

Recall:

Thm

$\Omega \subset \mathbb{R}^N$  open, bounded,  $1 \leq p \leq +\infty$

$f: \mathbb{R}^{d \times N} \rightarrow (-\infty, +\infty]$  lsc.

(H)  $f(\xi) \geq -C(1 + |\xi|^p)$  some  $C > 0$ , if  $1 \leq p < +\infty$   
 $f$  locally bounded from below if  $p = +\infty$ .

If  $u \in V^{1,p}(\Omega; \mathbb{R}^d) \mapsto \int_{\Omega} f(\nabla u) dx$  is s.l.s.c. in  $V^{1,p}$   
(w.o. if  $p = +\infty$ )

then  $f$  is strongly quasiconvex (hence, quasiconvex).

N.B. (H) + (strong) quasiconvexity are not sufficient  
to guarantee s.l.s.c. of the functional:

$N = 2$ ,  $\Omega := (-a, a) \times (0, a)$  with  $0 < a < 1$

$$u_n(x_1, x_2) := \frac{1}{\sqrt{n}} (1 - |x_2|)^n (\sin nx_1, \cos nx_1)$$

$f(\xi) := \det \xi$  poly convex (hence strongly qcx)  
and  $|f(\xi)| \leq C(1 + |\xi|^2)$

Still,  $u_n \rightarrow 0$  in  $W^{1,2}(\Omega; \mathbb{R}^2)$  with

$$\lim_{n \rightarrow +\infty} \int_{\Omega} f(\nabla u_n) dx < 0 = \int_{\Omega} f(0) dx.$$

The problem is the bound from below:

$f^-(\xi) \sim |\xi|^2$  and not better!

